

ORAL ARGUMENT NOT YET SCHEDULED**No. 23-5129**

**IN THE UNITED STATES COURT OF APPEALS
FOR THE DISTRICT OF COLUMBIA CIRCUIT**

ALPINE SECURITIES CORPORATION,
Plaintiff-Appellant,

v.

FINANCIAL INDUSTRY REGULATORY AUTHORITY, INC.,
Defendant-Appellee.

UNITED STATES OF AMERICA,
Intervenor Defendant-Appellee.

On Appeal from the United States District Court for the District of Columbia
Case No. 23-cv-01506-BAH
The Honorable Beryl A. Howell, District Judge

**NOTICE OF INTENT TO FILE AMICUS BRIEF
AND REPRESENTATION OF CONSENT**

Adam G. Unikowsky
Elizabeth B. Deutsch
Arjun R. Ramamurti
JENNER & BLOCK LLP
1099 New York Ave. NW, Suite 900
Washington, DC 20001
Phone: (202) 639-6000
aunikowsky@jenner.com

Gregory M. Boyle
JENNER & BLOCK LLP
353 N Clark Street
Chicago, IL 60654
Phone: (312) 2222-9350
gboyle@jenner.com

*Counsel for Amici Curiae
National Futures Association,
CME Group Inc., and
Cboe Global Markets, Inc.*

Pursuant to Circuit Rule 29(b), National Futures Association, CME Group Inc., and Cboe Global Markets, Inc., notify this Court of their intent to file a brief as *amici curiae* in the above-captioned matter in support of the Defendant-Appellee, Financial Industry Regulatory Authority, Inc.

All parties to this appeal have consented to the filing of this brief.

CORPORATE DISCLOSURE STATEMENT

Pursuant to Federal Rule of Appellate Procedure 26.1 and D.C. Circuit Rule 26.1, *amici curiae* submit the following corporate disclosure statement:

National Futures Association is a nonprofit corporation. It has no parent corporation, and no publicly held corporation owns 10% or more of its stock.

CME Group Inc. is a publicly held company. It has no parent corporation, and no publicly held corporation owns 10% or more of its stock.

Cboe Global Markets, Inc. is a publicly held company. It has no parent corporation. A third party's review of public filings indicates that The Vanguard Group, Inc. owned 10% or more of Cboe Global Markets, Inc.'s stock as of June 30, 2023.

Dated: November 2, 2023

Respectfully submitted,

/s/ Adam G. Unikowsky

Adam G. Unikowsky
Elizabeth B. Deutsch
Arjun R. Ramamurti
JENNER & BLOCK LLP
1099 New York Ave. NW, Suite 900
Washington, DC 20001
Phone: (202) 639-6000
aunikowsky@jenner.com

Gregory M. Boyle
JENNER & BLOCK LLP
353 N Clark Street
Chicago, IL 60654
Phone: (312) 2222-9350
gboyle@jenner.com

*Counsel for Amici Curiae
National Futures Association,
CME Group Inc., and
Cboe Global Markets, Inc.*

CERTIFICATE OF SERVICE

I hereby certify that undersigned counsel served a true and correct copy of the foregoing to all parties of record through the Court's CM/ECF system.

Dated: November 2, 2023

/s/ Adam G. Unikowsky
Adam G. Unikowsky